

Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges

| | US\$ Equivalent as of 12/9/2020 |
|--|------------------------------------|
| <u>Segregated Funds</u> | |
| Net ledger balance - cash | 35,785,799 |
| Net unrealized profit (loss) in open futures contracts | (130,944) |
| Accounts liquidating to a deficit and accounts with debit balances | 400,711 |
| Amount required to be set aside | <u>36,055,566</u> |
| Cash in banks | 3,387,494 |
| Securities deposited in banks | 35,999,060 |
| Cash with other futures commission merchants | 1,815,461 |
| Amount being held | <u>41,202,015</u> |
| Excess Funds | <u><u>5,146,449</u></u> |

**Statement of Secured Amounts and Funds Held in Separate Accounts for
30.7 Customers Pursuant to Commission Regulation 30.7**

| | US\$ Equivalent as of 12/9/2020 |
|--|------------------------------------|
| 30.7 Secured | |
| Net ledger balance - cash | 856,058 |
| Net unrealized profit (loss) in open futures contracts | (109) |
| Accounts liquidating to a deficit and accounts with debit balances | 1,012 |
| Amount required to be set aside | 856,961 |
| Cash in banks | 1,013,562 |
| Cash with other futures commission merchants | 361,522 |
| Unrealized gain (loss) on open futures contracts | (109) |
| Amount being held | 1,374,975 |
| Excess Funds | 518,014 |

