

Name of Company: NinjaTrader Clearing LLC	Employer ID No: 36-4208643	NFA ID No: 0309379
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CFTC FORM 1-FR-FCM
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES
AS OF 6/30/2026

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash		\$ 384,636,124	5000
B. Securities (at market)		14,059,155	5010
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(631,193)	5020
3. Exchange traded options			
A. Market value of open option contracts purchased on a contract market		2,766	5030
B. Market value of open option contracts granted (sold) on a contract market		0	5040
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 398,066,852	5050
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 1,236,249	5060	
Less: amount offset by customer owned securities	0	5070	
		1,236,249	5080
6. Amount required to be segregated (add lines 4 and 5)		\$ 399,303,101	5090

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		\$ 212,724,073	5100
B. Securities representing investments of customers' funds (at market)		256,948,400	5110
C. Securities held for particular customers or option customers in lieu of cash (at market)		14,059,155	5120
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash		90,921	5130
B. Securities representing investments of customers' funds (at market)		0	5140
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5150
9. Net settlement from (to) derivatives clearing organizations of contract markets		2,650	5160
10. Exchange traded options			
A. Value of open long option contracts		0	5170
B. Value of open short option contracts		0	5180
11. Net equities with other FCMs			
A. Net liquidating equity		2,156,668	5190
B. Securities representing investments of customers' funds (at market)		19,749,100	5200
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5210
12. Segregated funds on hand (describe:)		0	5215
13. Total amount in segregation (add lines 7 through 12)		\$ 505,730,967	5220
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 106,427,866	5230
15. Management Target Amount Excess funds in segregation		\$ 4,000,000	5240
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 102,427,866	5250

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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 6/30/2026

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
	A. Cash		\$ 1,723,570	5615
	B. Securities (at market)		\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$ 30,026	5625
3.	Exchange traded options			
	A. Market value of open option contracts purchased on a foreign board of trade		\$ 0	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade		\$ 0	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)		\$ 1,753,596	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 4,541	5651	
	Less: amount offset by customer owned securities	\$ 0	5652	
			\$ 4,541	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)		\$ 1,758,137	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.		\$ 1,758,137	5660

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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 6/30/2026

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 2,309,859	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	0	5720	\$ 2,309,859	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): <u>_</u>	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 866,397	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	30,026	5800		
D. Value of long option contracts	0	5810		
E. Value of short option contracts	0	5815	896,423	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): <u>_</u>				5830
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): <u>_</u>				5890
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): <u>_</u>			0	5960
7. Segregated funds on hand (describe): <u>_</u>			0	5965
8. Total funds in separate section 30.7 accounts			\$ 3,206,282	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 1,448,145	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			400,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			1,048,145	5985

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

as of: 06/30/2026

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1.	Net ledger balance				
	A. Cash		\$ (905)	8500	
	B. Securities (at market)		0	8510	
2.	Net unrealized profit (loss) in open cleared swaps		1	8520	
3.	Cleared swaps options				
	A. Market value of open cleared swaps option contracts purchased		0	8530	
	B. Market value of open cleared swaps granted (sold)		0	8540	
4.	Net equity (deficit) (add lines 1, 2 and 3)		(904)	8550	
5.	Accounts liquidating to a deficit and accounts with debit balances				
	- gross amount	\$ 906	8560		
	Less: amount offset by customer securities	0	8570	906	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		2	8590	

Funds in Cleared Swaps Customer Segregated Accounts

7.	Deposited in cleared swaps customer segregated accounts at banks				
	A. Cash		\$ 3,813,038	8600	
	B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8620	
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts				
	A. Cash		199,632	8630	
	B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8650	
9.	Net settlement from (to) derivatives clearing organizations		0	8660	
10.	Cleared swaps options				
	A. Value of open cleared swaps long option contracts		0	8670	
	B. Value of open cleared swaps short option contracts		0	8680	
11.	Net equities with other FCMs				
	A. Net liquidating equity		0	8690	
	B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710	
12.	Cleared swaps customer funds on hand (describe:)		0	8715	
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)		4,012,670	8720	
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 4,012,668	8730	
15.	Management target Amount for Excess funds in cleared swaps segregated accounts		\$ 2,000,000	8760	
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess		\$ 2,012,668	8770	